TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT Docket No. (Under 37 CFR 1.97(b) or 1.97(c)) 0112300-2103 In Re Application Of: Palmer et al. Filing Date Examiner Serial No. Group Art Unit March 25, 2004 Unknown Unknown 10/810,146 EVICE HAVING AN AWARD OFFER AND TERMINATION BONUS SCHEME Title: Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 37 CFR 1.97(b) The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114. 37 CFR 1.97(c) 2. 

The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of: ☐ the statement specified in 37 CFR 1.97(e); OR the fee set forth in 37 CFR 1.17(p).

### TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT Docket No. (Under 37 CFR 1.97(b) or 1.97(c)) 0112300-2103 In Re Application: Palmer et al. Group Art Unit Filing Date Examiner Serial No. Unknown Unknown 10/810,146 March 25, 2004 ICE HAVING AN AWARD OFFER AND TERMINATION BONUS SCHEME **Payment of Fee** (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p)) A check in the amount of is attached. The Director is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below. Charge the amount of Credit any overpayment. Charge any additional fee required. Certificate of Transmission by Facsimile\* Certificate of Mailing by First Class Mail I certify that this document and authorization to charge deposit I certify that this document and fee is being deposited account is being facsimile transmitted to the United States on April 19, 2004 with the U.S. Postal Service Patent and Trademark Office (F: as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. (Date) Signature of Person Mailing Correspondence Signature Renee Street Typed or Printed Name of Person Signing Certificate Typed or Printed Name of Person Mailing Certificate \*This certificate may only be used if paying by deposit account. Nun Dated: April 19, 2004 Signature Adam H. Masia (Reg. No. 35,602) BELL, BOYD & LLOYD LLC P. O. Box 1135 Chicago, Illinois 60690-1135 CC:



Applicants: Gregg J. Palmer, et al.

Appl. No.: 10/810,146 Filed: March 25, 2004

Title: GAMING DEVICE HAVING AN AWARD OFFER AND TERMINATION

**BONUS SCHEME** 

Art Unit: Unknown Examiner: Unknown

Docket No.: 0112300-2103

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

#### INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which can be found in the parent, application no. 09/682,428, filed on August 31, 2001, be made during the course of examination of the above-identified application for United States patent.

### **U.S. PATENT DOCUMENTS**

Document No. 4,448,419	<u>Date</u> May 15, 1984	<u>Inventor</u> Telnaes
4,582,324	April 15, 1986	Koza et al.
4,624,459	November 25, 1986	Kaufman
4,695,053	September 22, 1987	Vazquez, Jr. et al.
4,991,848	February 12, 1991	Greenwood et al.
5,178,390	January 12, 1993	Okada
5,205,555	April 27, 1993	Hamano
5,342,047	August 30, 1994	Heidel et al.
5,456,465	October 10, 1995	Durham
5,524,888	June 11, 1996	Heidel

5,536,016	July 16, 1996	Thompson
5,542,669	August 6, 1996	Charron et al.
5,560,603	October 1, 1996	Seelig et al.
5,611,535	March 18, 1987	Tiberio
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5,769,716	June 23, 1998	Saffari et al.
5,772,509	June 30, 1998	Weiss
5,775,692	July 7, 1998	Watts et al.
5,788,573	August 4, 1998	Baerlocher et al.
5,823,874	October 20, 1998	Weiss
5,833,538	November 10, 1998	Weiss
5,848,932	December 15, 1998	Adams
5,851,148	December 22, 1998	Brune et al.
5,873,781	February 23, 1999	Keane
5,882,261	March 16, 1999	Adams
5,902,184	May 11, 1999	Bennett et al.
5,911,418	June 15, 1999	Adams
5,947,820	September 7, 1999	Morro et al.
5,951,397	September 14, 1999	Dickinson
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5,967,894	October 19, 1999	Kinoshita et al.
5,980,384	November 9, 1999	Barrie
5,984,781	November 16, 1999	Sunaga
5,997,400	December 7, 1999	Seelig et al.

5,997,401	December 7, 1999	Crawford
6,004,207	December 21, 1999	Wilson, Jr. et al.
6,015,346	January 18, 2000	Bennett
6,019,369	February 1, 2000	Nakagawa et al.
6,033,307	March 7, 2000	Vancura
6,056,642	May 2, 2000	Bennett
6,059,289	May 9, 2000	Vancura
6,059,658	May 9, 2000	Mangano et al.
6,062,980	May 16, 2000	Luciano
6,089,976	July 18, 2000	Schneider et al.
6,089,977	July 18, 2000	Bennett
6,089,978	July 18, 2000	Adams
6,093,102	July 25, 2000	Bennett
6,102,798	August 15, 2000	Bennett
6,120,031	September 19, 2000	Adams
6,126,541	October 3, 2000	Fuchs
6,126,542	October 3, 2000	Fier
6,142,873	November 7, 2000	Weiss et al.
6,142,874	November 7, 2000	Kodachi et al.
6,142,875	November 7, 2000	Kodachi et al.
6,146,273	November 14, 2000	Olsen
6,159,095	December 12, 2000	Frohm et al.
6,159,096	December 12, 2000	Yoseloff
6,159,097	December 12, 2000	Gura

6,159,098	December 12, 2000	Slomiany et al.
6,162,121	December 19, 2000	Morro et al.
6,168,520 B1	January 2, 2001	Baerlocher et al.
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6,173,955 B1	January 16, 2001	Perrie et al.
6,174,233 B1	January 16, 2001	Sunaga et al.
6,174,235 B1	January 16, 2001	Walker et al.
6,190,254 BI	February 20, 2001	Bennett
6,190,255 B1	February 20, 2001	Thomas et al.
6,203,429 B1	March 20, 2001	Demar et al.
6,210,279 B1	April 3, 2001	Dickinson
6,213,876 B1	April 10, 2001	Moore, Jr.
6,224,483 B1	May 1, 2001	Mayeroff
6,231,442 B1	May 15, 2001	Mayeroff
6,231,445 B1	May 15, 2001	Acres
6,261,177 B1	July 17, 2001	Bennett
6,302,790 B1	October 16, 2001	Brossard
6,305,686 B1	October 23, 2001	Perrie et al.
6,309,300 B1	October 30, 2001	Glavich
6,328,649 B1	December 11, 2001	Randall et al.
6,375,187	April 23, 2002	Baerlocher

### **FOREIGN PATENT DOCUMENTS**

Document No.	<u>Date</u>	Country
WO 9732285	September 4, 1997	PCT

EP 0874337 A1	October 28, 1998	Europe
EP 0926645 A2 EP 0944030 A2	June 30, 1999 September 22, 1999	Europe Europe
EP 0945837 A2	September 29, 1999	Europe
EP 0981119 A2	February 23, 2000	Europe
EP 0984408 A2	March 8, 2000	Europe
EP 0984409 A2	March 8, 2000	Europe
WO 00/12186	March 9, 2000	PCT

### **OTHER DOCUMENTS**

Addams Family Advertisement and Article written by IGT, Strictly Slots, published in 2000.

Adders and Ladders Advertisement written by Barcrest Ltd., published prior to 2000.

American Thunder Screen Shots written by IGT, published in 1998.

Big Bang Piggy Bankin Advertisement written by WMS Gaming, Inc., published prior to 2000.

Blackjack/Twenty-One Description written by Hoyle's Rules of Games, published in 1993.

Bonus Spin Red, White & Blue Advertisement written by IGT, published in 2000.

Bonus Times Article written by Strictly Slots, published in 2000.

By George written by IGT, published in 2002.

Caribbean Gold II Advertisement written by Aristocrat Incorporated, published in 1998.

Cash Box Advertisement & Article written by Anchor Games, Strictly Slots, published in 2000.

Chutes & Ladders Game Instructions written by Hasbro-Milton Bradley, published in 1999.

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Double Diamond Game Descriptions written by IGT printed on March 21, 2001.

Appl. No. 10/810,146

Double Up Poker Game Description written by IGT Undated

Easy Street Advertisements and Articles written by Casino Data Systems, published in 2000.

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Empire Game Advertisement written by AC Coin, published in 1996.

Fire and Fortune Article written by Strictly Slots, published in 2001.

Fox "N" Hound Advertisement written by IGT, published in 2000.

In Between Game Description written by IGT, available prior to 2000.

Jackpot Party Advertisements and Articles written by WMS Gaming, Inc., published in 1998.

Keep Your Hat On Advertisement written by Aristocrat, published in 2001.

Let's Make a Deal written by geocities.com (10 pages), printed on 03/21/01.

Let's Make a Deal written by fortunecity.com (4 pages), printed on 03/21/01.

Let's Make a Deal written by Illinoislottery.com (1 page), printed on 03/21/01.

Let's Make a Deal geocities.com (2 pages), printed on 03/16/01.

Let's Make A Deal Advertisement written by Shuffle Master and IGT, published in 2001.

Let's Make a Deal Game Advertisement written by Bally Gaming Systems, published in 1999.

Little Green Men Advertisement and Article written by IGT, Strictly Slots, published in 2000.

MegaJackpots Advertisement written by IGT, published in 1998.

Money Grab Article written by Strictly Slots, published in April 2001.

Money in the Bank Advertisement written by Strictly Slots Konami, published in 2001.

Monopoly Advertisements and Articles written by WMS Gaming, Inc., Strictly Slots, published in 1998, 1999, 2000.

Monopoly Party Train Article written by Strictly Slots, published in 2002.

Neon Nights written by IGT, published in 2000.

On the Money Article written by Strictly Slots, Casino Data Systems, published in December 2000.

Polly & Roger Advertisement written by VLC, Inc., published in 2000.

Price is Right "Cliff Hangers" Description written by www.geocities.com; members.aol.com (web site), printed 3/21/01.

Price is Right "Showcases" Description written by schuminweb.com (web site), printed 3/16/01.

Psycho Cash Beast Club (including knockouts) written by Barcrest, published prior to 1998.

Richard Petty Advertisement written by IGT, published in 2000.

South Park – Dodgeball Advertisement written by IGT, published in 2000.

Spell Binder Advertisement written by IGT, published in 2000.

Sphinx Advertisement written by Atronic Casino Technology, Ltd., published in 1997.

Take Your Pick Article written by Strictly Slots, published in March 2001.

Take Your Pick Advertisement written by IGT/Anchor Gaming, published in 1999.

Texas Tea Advertisement written by IGT, published in 2000.

The Deals of Let's Make a Deal written by fortunecity.com (2 pages), printed on 03/16/01.

The Official Let's Make a Deal Website written by Bally Gaming System Website, printed on 03/16/01.

Top Cat Advertisement written by WMS Gaming, Inc., published prior to 2000.

Top Dollar Game Advertisement written by IGT, published in 1998.

Totem Pole Advertisement written by IGT, published in 1997.

Treasure Wheel/Treasure Tunnel Advertisement written by Sigma Game, Inc., published prior to 2000.

Wheel of Fortune Advertisement written by IGT, published in 1998.

Appl. No. 10/810,146

Wheel of Fortune Advertisement written by IGT, published in 1999.

Wheel Poker Article written by Strictly Slots (Anchor Games), published in November 2000.

Winning Streak Web Site Description written by WMS Gaming Inc. (web site), printed on 3/21/01.

X Factor Advertisement and Website Page written by WMS Gaming, Inc., published in 1998.

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

Reg. No. 35,602 P.O. Box 1135

Chicago, Illinois 60690-1135

Phone: (312) 807-4284

Dated: April 19, 2004

# ENFORMATION DISCLOSURE CITATION IN AN APPLICATION Use several sheets if necessary) PTO Form 1449

Atty Docket No.	Application No.	
0112300-2103	3 10/810,146	
Applicant		
	g J. Palmer, et al.	
Filing Date	Group	
March 25, 2004		

ENT & TRAVER		U.S. PATENT DO	CUMENTS			
Examiner's Initials	Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
	4,448,419	May 15, 1984	Telnaes			
	4,582,324	April 15, 1986	Koza et al.			
	4,624,459	November 25, 1986	Kaufman			
	4,695,053	September 22, 1987	Vazquez, Jr. et al.			
	4,991,848	February 12, 1991	Greenwood et al.			
	5,178,390	January 12, 1993	Okada	<del>  ~</del>		
	5,205,555	April 27, 1993	Hamano			
	5,342,047	August 30, 1994	Heidel et al.			
	5,456,465	October 10, 1995	Durham			
	5,524,888	June 11, 1996	Heidel			
	5,536,016	July 16, 1996	Thompson			
	5,542,669	August 6, 1996	Charron et al.			
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	5,611,535	March 18, 1987	Tiberio			
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	5,769,716	June 23, 1998	Saffari et al.			
	5,772,509	June 30, 1998	Weiss			
	5,775,692	July 7, 1998	Watts et al.			
	5,788,573	August 4, 1998	Baerlocher et al.			

	5,775,692	July 7, 1998	Watts et al.	
	5,788,573	August 4, 1998	Baerlocher et al.	
Examine	er:		Date Considered:	
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PTO Form 1449

Atty Docket No.	Application No.
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Applicant	
Greg	g J. Palmer, et al.
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March 25 2004	

		U.S. PATENT DO	CUMENTS			
Examiner's Initials	Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
	5,823,874	October 20, 1998	Weiss			
	5,833,538	November 10, 1998	Weiss		<u>-</u>	
	5,848,932	December 15, 1998	Adams			
	5,851,148	December 22, 1998	Brune et al.			
	5,873,781	February 23, 1999	Keane			
	5,882,261	March 16, 1999	Adams			
	5,902,184	May 11, 1999	Bennett et al.			
	5,911,418	June 15, 1999	Adams			
	5,947,820	September 7, 1999	Morro et al.			
	5,951,397	September 14, 1999	Dickinson			
	5,964,463	October 12, 1999	Moore, Jr.			
	5,967,894	October 19, 1999	Kinoshita et al.			
	5,980,384	November 9, 1999	Barrie			
	5,984,781	November 16, 1999	Sunaga			
	5,997,400	December 7, 1999	Seelig et al.	<u> </u>		-
	5,997,401	December 7, 1999	Crawford			
	6,004,207	December 21, 1999	Wilson, Jr. et al.		-	
	6,015,346	January 18, 2000	Bennett			
	6,019,369	February 1, 2000	Nakagawa et al.			

	6,019,369	February 1, 2000	Nakagawa et al.	
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communication to applicant.

## INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary)

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		U.S. PATENT DO	CUMENTS			
Examiner's Initials	Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
	6,033,307	March 7, 2000	Vancura			
	6,056,642	May 2, 2000	Bennett			
	6,059,289	May 9, 2000	Vancura			
	6,059,658	May 9, 2000	Mangano et al.			
	6,062,980	May 16, 2000	Luciano			
	6,089,976	July 18, 2000	Schneider et al.			-
	6,089,977	July 18, 2000	Bennett			
	6,089,978	July 18, 2000	Adams			
	6,093,102	July 25, 2000	Bennett			
	6,102,798	August 15, 2000	Bennett			
	6,120,031	September 19, 2000	Adams			
	6,126,541	October 3, 2000	Fuchs			
	6,126,542	October 3, 2000	Fier			
	6,142,873	November 7, 2000	Weiss et al.			
	6,142,874	November 7, 2000	Kodachi et al.			
	6,142,875	November 7, 2000	Kodachi et al.			
	6,146,273	November 14, 2000	Olsen			
	6,159,095	December 12, 2000	Frohm et al.		-	
	6,159,096	December 12, 2000	Yoseloff			

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	6,159,096	December 12, 2000	Yoseloff	
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	6,159,097	December 12, 2000	Gura			
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	6,168,520 B1	January 2, 2001	Baerlocher et al.			,
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	6,173,955 B1	January 16, 2001	Perrie et al.			
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	6,231,442 B1	May 15, 2001	Mayeroff			
	6,231,445 B1	May 15, 2001	Acres			
	6,261,177 B1	July 17, 2001	Bennett			
	6,302,790 B1	October 16, 2001	Brossard			
	6,305,686 B1	October 23, 2001	Perrie et al.			

			citation is in conformance	 
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	6,302,790 B1	October 16, 2001	Brossard	

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0112300-210	3 10/810,146
Applicant	
Greg	gg J. Palmer, et al.
Filing Date	Group
March 25, 2004	

		U.S. PATENT DO	CUMENTS			
Examiner's Initials	Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
	6,309,300 B1	October 30, 2001	Glavich			
	6,328,649 B1	December 11, 2001	Randall et al.			
	6,375,187	April 23, 2002	Baerlocher			

		FOREIGN PATENT	DOCUMENTS				
Examiner's	Document	Publication				Transl	ation
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	WO 9732285	September 4, 1997	PCT				
	EP 0874337 A1	October 28, 1998	Europe				
	EP 0926645 A2	June 30, 1999	Europe			-	
	EP 0944030 A2	September 22, 1999	Europe				
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	EP 0984408 A2	March 8, 2000	Europe				
	EP 0984409 A2	March 8, 2000	Europe				
	WO 00/12186	March 9, 2000	PCT				,

Examiner's Initials	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
	Addams Family Advertisement and Article written by IGT, Strictly Slots, published in 2000.
	Adders and Ladders Advertisement written by Barcrest Ltd., published prior to 2000.
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Examiner: Date Considered:

<sup>\*</sup>Examiner: Initial if citation considered, whether or not citation is in conformance with PEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Examiner:

O112300-2103
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	Bonus Spin Red, White & Blue Advertisement written by IGT, published in 2000.
	Bonus Times Article written by Strictly Slots, published in 2000.
	By George written by IGT, published in 2002.
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	Cash Box Advertisement & Article written by Anchor Games, Strictly Slots, published in 2000.
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	Let's Make a Deal written by geocities.com (10 pages), printed on 03/21/01.
-	Let's Make a Deal written by fortunecity.com (4 pages), printed on 03/21/01.
	Let's Make a Deal written by Illinoislottery.com (1 page), printed on 03/21/01.
	Let's Make a Deal geocities.com (2 pages), printed on 03/16/01.
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	Money Grab Article written by Strictly Slots, published in April 2001.
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0112300-2103 Applicant

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	Polly & Roger Advertisement written by VLC, Inc., published in 2000.
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	Price is Right "Showcases" Description written by schuminweb.com (web site), printed 3/16/01.
	Psycho Cash Beast Club (including knockouts) written by Barcrest, published prior to 1998.
	Richard Petty Advertisement written by IGT, published in 2000.
	South Park – Dodgeball Advertisement written by IGT, published in 2000.
	Spell Binder Advertisement written by IGT, published in 2000.
	Sphinx Advertisement written by Atronic Casino Technology, Ltd., published in 1997.
	Take Your Pick Article written by Strictly Slots, published in March 2001.
	Take Your Pick Advertisement written by IGT/Anchor Gaming, published in 1999.
	Texas Tea Advertisement written by IGT, published in 2000.
	The Deals of Let's Make a Deal written by fortunecity.com (2 pages), printed on 03/16/01.
	The Official Let's Make a Deal Website written by Bally Gaming System Website, printed on 03/16/01.
	Top Cat Advertisement written by WMS Gaming, Inc., published prior to 2000.
	Top Dollar Game Advertisement written by IGT, published in 1998.

Examiner:	Date Considered:
*Examiner: Initial if citation considered, whether or not ci	tation is in conformance with PEP Section 609; Draw

line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Use several sheets if necessary)

Atty Docket No.	Application No.	
0112300-2103	10/810,146	
Applicant		_
Gregg	J. Palmer, et al.	
Filing Date	Group	
March 25, 2004		

Examiner's Initials	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)				
	Totem Pole Advertisement written by IGT, published in 1997.				
	Treasure Wheel/Treasure Tunnel Advertisement written by Sigma Game, Inc., published prior to 2000.				
	Wheel of Fortune Advertisement written by IGT, published in 1998.				
	Wheel of Fortune Advertisement written by IGT, published in 1999.				
,	Wheel Poker Article written by Strictly Slots (Anchor Games), published in November 2000.				
	Winning Streak Web Site Description written by WMS Gaming Inc. (web site), printed on 3/21/01.				
	X Factor Advertisement and Website Page written by WMS Gaming, Inc., published in 1998.				

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